Intellectual Property and Licensing Guidelines for the Platforms for Advanced Wireless Research (PAWR)

- 1. A single Intellectual Property (IP) Policy will apply to all platforms and their use. The policy shall be stated in each platform award terms and conditions.
- 2. Research to be conducted on the platforms will be classified into four tranches:
 - a. Research sponsored in whole or in part by NSF;
 - b. Research sponsored by the entire Industry Consortium that is not in tranche (a);
 - c. Research sponsored by individual member companies of the Industry Consortium, that is not in tranche (a) or (b); and
 - d. All other research.
- 3. Rights to inventions made during research in tranche (a) shall be governed by standard NSF policy (pursuant to the Bayh-Dole Act), as described in the current *Proposal and Award Policies and Procedures Guide*, Chapter XI.D.)¹, with the following additional condition: Each member of the Industry Consortium, the PPO, and NSF shall be granted a royalty-free, non-exclusive, non-sub-licensable license for non-commercial use of the invention.
- 4. Publication of results from research in tranche (a) shall be governed by standard NSF policy as specified in the current *Proposal and Award Policies and Procedures Guide* Chapter XI.E., without additional restrictions.

¹ Institutions receiving NSF funding for research covered by this policy are reminded that, in view of the US Supreme Court decision in Stanford v. Roche, employee assignment agreements should include a present conveyance of rights ("I hereby assign" rather than a promise or intent to assign) in order to effectively convey patent rights to the institution, allowing the institution to meet its responsibility under the Bayh-Dole Act to provide the agency with a license to patented inventions.